

1 TBCD may be a causal gene in progressive neurodegenerative encephalopathy with atypical
2 infantile spinal muscular atrophy

3

4 **Running title:** TBCD variation in atypical SMA and brain atrophy

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1 **Abstract**

2 Spinal muscular atrophy (SMA) is an autosomal recessive neurodegenerative disorder caused by
3 survival motor neuron gene mutations. Variant forms of SMA accompanied by additional clinical
4 presentations have been classified as atypical SMA and are thought to be caused by variants in as
5 yet unidentified causative genes. Here, we present the clinical findings of two siblings with an
6 SMA variant followed by progressive cerebral atrophy, and the results of whole exome sequencing
7 analyses of the family quartet that was performed to identify potential causative variants. We
8 identified two candidate homozygous missense variants, R942Q in the tubulin-folding cofactor D
9 gene (*TBCD*), and H250Q in the bromo-adjacent homology domain and coiled coil-containing 1
10 gene (*BAHCCI*), located on chromosome 17q25.3 with an interval of 1.4 Mbp. *In silico* analysis of
11 both variants suggested that *TBCD* rather than *BAHCCI* was likely the pathogenic gene (*TBCD*
12 sensitivity, 0.68; specificity, 0.97; *BAHCCI* sensitivity, 1.00; specificity, 0.00). Thus, our results
13 show that *TBCD* is a likely novel candidate gene for atypical SMA with progressive cerebral
14 atrophy. *TBCD* is predicted to have important functions on tubulin integrity in motor neurons as
15 well as on the central nervous system.

16 **Keywords:** Spinal muscular atrophy, Atypical, Cerebral atrophy, Tubulin-folding cofactor D,
17 Bromo-adjacent homology domain and coiled coil-containing 1, Whole exome family quartet
18 analysis

19

1 **Introduction**

2 Infantile spinal muscular atrophy (SMA) is a frequent autosomal disease that specifically affects the
3 motor neurons of the spinal anterior horn and lower cranial nerve nuclei.^{1,2} Peripheral nerve
4 involvement or other organ malformations are conventionally regarded as exclusion criteria for
5 infantile SMA. However, recent reports indicate that although the neurological features are obvious
6 early in childhood, the somatic features appear later in childhood, suggesting an apparent lack of
7 boundaries between purely neurological and multiorgan syndromes over time.¹⁻³ SMA is caused by
8 mutations in the survival motor neuron genes 1 and 2 (*SMN1* and *SMN2*) on chromosome 5q13.⁴
9 The homozygous absence of *SMN1* is responsible for SMA, whereas *SMN2* copy number is
10 associated with the SMA phenotype.⁴
11 Atypical forms of the disease have been described. Patients with these forms have unusual
12 additional neurological features (e.g., diaphragmatic palsy) that are known as atypical SMA, SMA
13 variant, non-5q-SMA entities, or *SMN1*-negative proximal SMA.⁵⁻⁸ Based on the mode of
14 inheritance and involvement of other organs or peripheral nerves, atypical SMA types have been
15 reported along with the causative genes, including pontocerebellar hypoplasia (PCH) with infantile
16 SMA (PCH1A, *VRK1*; PCH1B, *EXSOC3*), SMA and progressive myoclonic epilepsy (PMESMA,
17 *ASAHI*), and SMA with cranial nerve disorders.⁵⁻¹³
18 Progressive loss of neurological function along with the involvement of the central nervous system
19 other than the motor neuron system is diagnosed as a neurodegenerative disease and is classified
20 into five major categories: polioencephalopathies, leukoencephalopathies, corencephalopathies,

1 spinocerebellopathies, and diffuse encephalopathies.
2 Here, we present two cases, siblings, who showed symmetrical proximal neurogenic muscle
3 atrophy complicated by cognitive dysfunction and progressive cerebral atrophy. We investigated
4 the possibility that these siblings were affected by atypical SMA with autosomal recessive
5 inheritance. To this end, we conducted whole exome sequencing analyses on the two affected
6 siblings and their parents, identifying variants in the tubulin-folding cofactor D gene (*TBCD*) and
7 the bromo-adjacent homology domain and coiled coil-containing 1 gene (*BAHCCI*) located on
8 chromosome 17q25.3 with an interval of 1.4 Mbp. Our results suggested that *TBCD* was the likely
9 causative variant for these sibling cases.
10

1 **Materials and methods**

2 **Ethics**

3 All studies were performed with the informed consent of the patients' parents and the approval of
4 the Institutional Review Boards at the University of Miyazaki and the University of Tokyo.

5

6 **Participants**

7 Two Japanese familial patients with atypical SMA were enrolled in this study. Their pedigree chart
8 is shown in Figure 1. The detailed clinical features of the sibling cases are provided in the Results
9 section. Their parents were non-consanguineous.

10

11 **Exome sequencing**

12 Genomic DNA was extracted using standard protocols from peripheral blood leukocytes or the
13 umbilical cord of the two patients and their parents. Whole exome sequencing and bioinformatics
14 analysis were performed on the two patients (cases 1 and 2) as previously described.^{14,15} Briefly,
15 exonic sequences were enriched using a SureSelect v4+UTRs kit (Agilent, CA) and subjected to
16 massively parallel sequence analysis using an Illumina HiSeq 2500 sequencing system (Illumina,
17 CA). The Burrows–Wheeler Aligner¹⁶ and SAMtools¹⁷ programs were used with the default
18 parameter settings for alignment of raw reads and variation detection (human GRCh37/hg19).
19 Single nucleotide variants were filtered using 800 Japanese, in-house, healthy control exome data
20 collected at the University of Tokyo.

1

2 SNP genotyping

3 Genotyping of single nucleotide polymorphisms (SNPs) were performed using a Genome-Wide
4 SNP Array 6.0 (Affymetrix). The availability of genomic DNA enabled genotyping of the genomic
5 DNA of one patient (case 1) and the parents. SNP calling was performed using Genotyping
6 Console software (Affymetrix). PLINK software was used to obtain a pairwise identity-by-descent
7 (IBD) estimation.¹⁸

8

9 Sanger sequencing

10 Sanger sequencing was performed to validate each candidate variant detected by exome
11 sequencing. The entire exon 31 from *TBCD* was amplified by polymerase chain reaction (PCR)
12 using an appropriate primer pair, TBCD-F 5'-GCATGTCCTCGTGGTGCTTG-3' and TBCD-R
13 5'-GCCAATGATCTCGCCATGGC-3', and AmpliTaq Gold (Life Technologies Japan, Tokyo,
14 Japan). Similarly, the portion of exon 5 from *BAHCC1* harboring another candidate mutation was
15 amplified by PCR using an appropriate primer pair, BAHCC1-F
16 5'-GAGTCAGTGCCAGCTGGTGTC-3' and BAHCC1-R
17 5'-CTGCTGCCTCCTTGACACAG-3' and AmpliTaq Gold.
18 Direct nucleotide sequence analysis was performed using the BigDye Terminator v3.1 kit and an
19 ABI PRISM 3130 Genetic Analyzer instrument (Life Technologies Corporation, Carlsbad, CA,
20 USA).

1

2 Database analyses

3 Sequences were compared with wild-type sequences using the online Basic Local Alignment
4 Search Tool (BLAST; <http://blast.ncbi.nlm.nih.gov/Blast.cgi>) and the National Center for
5 Biotechnology Information (NCBI) database (<http://www.ncbi.nlm.nih.gov/homologene>). Variants
6 were tested for potential pathogenicity using the following bioinformatics software online tools:
7 Polymorphism Phenotyping v2 (PolyPhen2; <http://genetics.bwh.harvard.edu/pph2/index.shtml>),
8 Scale-Invariant Feature Transform (<http://sift.jcvi.org/>), and Align GVGD
9 (<http://agvgd.hci.utah.edu>).^{10,19-20} Protein structure predictions were performed using JPred 4
10 (<http://www.compbio.dundee.ac.uk/jpred/>) and I-TASSER
11 (<http://zhanglab.ccmb.med.umich.edu/I-TASSER/>).^{21,22}
12

1 **Results**

2 Case reports

3 Case 1: A 1-month-old girl was referred to our hospital with hypotonia and developmental
4 problems. She was the first child of unrelated, healthy Japanese parents (Fig. 1) and was born
5 weighing 3,080 g at 38 weeks' gestation via uterine inertia without fetal and neonatal hypoxemia.
6 Her vital signs and weight gain were normal, but she exhibited a frog-leg posture and head lag. She
7 had no dysmorphic facial features or hepatosplenomegaly. Her mental status was alert and she was
8 visually attentive. She cried weakly. Her eye positions were normal, there were no ocular deviations,
9 and her pupils were equal, round, and reactive to light. Her face moved symmetrically. She was
10 able to swallow during the initial visit. A high-arched palate was not noted. The tongue was midline
11 and fasciculations were suspected. She had almost normal bulk with marked hypotonia. The Scarf
12 sign was positive, with diffuse muscle weaknesses encompassing the trunk, limb symmetries, and
13 proximal muscle dominance. She was unable to lift her arms or legs against gravity, but had some
14 movement of her hands and feet. Facial muscular weakness was noted. Pes equinus of both feet
15 was noted. Involuntary movements were not observed. She exhibited some withdrawal and
16 grimacing to pain. Although bilateral plantar flexor responses were absent, the Babinski reflex was
17 positive, showing contraction of the extensor hallucis longus muscle. The Moro reflex was positive
18 but weak. At her first visit, serum biochemical markers (creatine kinase, lactate, pyruvate, amino
19 acid levels, and other blood serology) were in the normal range. Brain magnetic resonance imaging
20 (MRI) (Fig. 2a) results showed no obvious abnormal signal. No deletions or mutations in exons 7

1 and 8 of the *SMN* gene were identified from nucleotide sequence analyses of the PCR products,
2 excluding typical infantile SMA.

3 At 3 months of age, tongue fasciculations were clearly apparent, and her voluntary smile and visual
4 attention were lost. She was admitted again at 7 months due to a failure to thrive. Cerebral atrophy
5 was confirmed by a computed tomography (CT) scan and also by brain MRI (Fig. 2b), with no
6 findings of cerebellar atrophy or brainstem changes. Her head circumference was 0.23 SD at 1
7 month, but -2.1 SD at 8 months. Psychomotor retardation was clearly apparent and progressive.

8 Symptomatic partial seizure was observed, which was intractable to antiepileptic drugs. In addition
9 to a weak cough and cry, respiratory distress and dysphagia with a bell-shaped chest and
10 paradoxical respirations were confirmed at 9 months of age. She began to have difficulty
11 swallowing. By 12 months she was profoundly mentally and physically retarded, with a
12 developmental quotient less than 20. She was confined to bed, lost spontaneous movements,
13 communication, or interaction with the environment, and she needed continuous tracheotomy
14 positive-pressure ventilation and tube nutrition. Although mild optic atrophy was observed, macular
15 cherry-red spots and retinal pigmentary changes were not noted. Pupil responses, eye positions, and
16 ocular movements were normal, but reaction to light became incomplete. Optokinetic nystagmus,
17 pursuit, saccade, and eye contact were lost. These findings indicated progressively deteriorating
18 visual functions, especially due to cortical visual impairment. Click-evoked auditory brainstem
19 response (ABR) thresholds at 9 months of age were 30 dB nHL (right) and 40 dB nHL (left).
20 Latencies of waves I (right 1.68 ms, left 1.54 ms; normal 1.60 ± 0.46), III (right 3.70 ms, left 3.48

1 ms; normal 4.30 ± 0.50), and V (right 6.12 ms, left 5.28 ms; normal 6.63 ± 0.78) were obtained
2 using 90 dB high-click stimuli at 9 months. These findings indicated normal auditory peripheral
3 nerve and brainstem functions.

4 Reduced nerve conduction velocity (27 m/sec; normal 42.3 ± 6.4) and reduced compound muscle
5 action potential (2.5 mV; normal 5.5 ± 2.0) were observed in the median nerve. Reduced nerve
6 conduction velocity (24 m/sec; normal 38.5 ± 5.5) and reduced compound muscle action potential
7 (0.93 mV; normal 14.1 ± 2.6) were also observed in the posterior tibial nerve (Fig. 2d).

8 Lysosomal enzyme activities that are relevant to neurodegeneration involving the central nervous
9 system and hypotonia (e.g., glycosidases, lysosomal proteases, and sulfatases) were in normal
10 ranges. Normal serum levels of very long chain fatty acids excluded fatty acid beta oxidation cycle
11 disorders, medium-chain acyl-coenzyme A dehydrogenase deficiency, long chain
12 3-hydroxyacyl-coenzyme A dehydrogenase deficiency, very long chain acyl-coenzyme A
13 dehydrogenase deficiency, and glutaric acidemia type II. G-banded chromosomal analysis was
14 normal. Cardiovascular, hepatic, and renal functions were also normal. Echocardiography showed
15 no signs of cardiomyopathy. At 3 years of age, a muscle biopsy specimen confirmed large grouped
16 atrophy with fiber hypertrophy (Fig. 2e). Sural nerve biopsy was normal upon optical microscopic
17 examination (Fig. 2f). She died of aspiration pneumonia at 6 years of age.

18

19 Case 2: The second patient was the younger sister of case 1 (Fig. 1). She was born at 39 weeks'
20 gestation via normal delivery without fetal and neonatal hypoxia, and weighed 3,060 g. She was

1 referred to our hospital at 6 months of age with hypotonia, developmental problems, and pes
2 equines. Her vital signs and weight gain were normal, but she exhibited a frog-leg posture and head
3 lag. At the initial visit, her head circumference was within the normal range but became smaller
4 than the reference range with increasing age. Her tongue was midline with fasciculations. Her
5 respiratory condition, mental status, other symptoms, and sensory and reflex responses were the
6 same as those described for her sister. She also had severe psychomotor retardation. Intractable
7 symptomatic partial seizure occurred at 8 months. Respiratory distress and dysphagia were
8 progressive, and she needed a tracheostomy at 7 months. She has been mechanically ventilated to
9 date, and is currently bedridden with profound mental retardation. She is still alive at the age of 7
10 with tracheotomy positive-pressure ventilation, home-based respirator care.

11 Her serum biochemical markers were normal. Brain MRI showed severe cerebral atrophy (Fig. 2c),
12 but no apparent cerebellar atrophy or brainstem changes. An interictal electroencephalogram
13 showed occasional multi-foci paroxysmal sharp waves on a background activity of dysmorphic
14 high-normal voltage delta and theta waves. This electroencephalogram did not indicate
15 hypsarhythmia. Cardiovascular and hepatic functions were normal. A congenital solitary kidney
16 was detected. At 7 years of age, eyelash and light reflexes were lost. Chromosomal G-band analysis
17 and array comparative genomic hybridization (27K; Agilent Technologies) found no chromosomal
18 abnormality or copy number variations. She has lived a life similar to her elder sister.

19 Differential diagnoses are listed in the Supplementary online material (Supplement 1).

1 Exome sequence analysis

2 A total of 142 rare protein-altering and splice-site variants, whose minor allele frequencies (MAFs)
3 were less than 0.5% in 800 exomes from in-house, healthy controls, were identified in one or both
4 cases. All variants in each participant were surveyed for compound heterozygous or homozygous
5 variants that were consistent with an autosomal recessive trait in the family (Table 1).
6 Only two homozygous variants, c.750C>A (H250Q) in *BAHCCI* and c.2825G>A (R942Q) in
7 *TBCD*, at 17q25.3 were shared between the siblings (Table 2). Their parents were heterozygous for
8 the same variants of the alleles. R942Q in *TBCD* was present neither in the exomes from the 800
9 in-house, healthy controls nor in the Exome Aggregation Consortium data set, whereas H250Q in
10 *BAHCCI* was observed in 2 of the 800 in-house, healthy controls (2 in 1,600 alleles) (Fig. 3).

11

12 *In silico* functional prediction of the variants

13 PolyPhen2 predicted the *BAHCCI* variant to be benign with a score of 0.000 (sensitivity, 1.00;
14 specificity, 0.00). By contrast, the *TBCD* variant was predicted to be probably damaging, with a
15 score of 0.995 (sensitivity, 0.68; specificity, 0.97). Moreover, Align GVGD predicted the *TBCD*
16 variant as more likely than the *BAHCCI* variant to interfere with function. SIFT predicted both
17 variants to affect protein functions, albeit with a postscript that the substitutions may have been
18 predicted to affect functions because the sequences used were not sufficiently diverse. Protein
19 structure predictions suggested that R942Q in *TBCD* may affect protein conformations and higher
20 structures of an alpha helix (Fig. 4).

1 **Discussion**

2 The siblings showed atypical SMA at a very early age during childhood, with hypotonia and
3 muscle weakness indicating lower motor unit dysfunction, but, in addition, progressive
4 complicated central nervous system dysfunctions. Severe infantile generalized weakness with
5 tongue fasciculations and respiratory failure suggested an anterior horn disorder, such as SMA. A
6 muscle biopsy specimen at 3 years of age confirmed grouped atrophy of muscles. The results of the
7 nerve conduction study indicated that the tibial nerve showed axonal-dominant degeneration or
8 motor unit reduction, whereas the results of the sural nerve biopsy suggested that the sural nerve
9 was normal, indicating that the pathology was confined to motor neurons. Brain atrophy was
10 progressive. The patient histories indicated severe developmental delay and regression, hypotonia,
11 loss of visual attention, progressive feeding and respiratory problems, and, ultimately, both patients
12 became bedridden and lost any cognitive function at 3 years of age.

13 Progressive diffuse central nervous system disorders with cognitive (i.e., developmental quotient
14 less than 20) and motor dysfunctions are quite different from those observed in typical SMA, and,
15 furthermore, distinct from any other atypical forms of SMA, emphasizing the uniqueness of the
16 clinical presentations of these sibling cases.

17 After differentiating motor neuron diseases from typical SMA and other disorders with similar
18 clinical features, such as infantile hypotonia or psychomotor regression (see Supplement 1), we
19 considered atypical SMA and amyotrophic lateral sclerosis (ALS).²³⁻²⁶ Additional features,
20 including arthrogyriposis, myoclonic epilepsy, sensory neural deafness, or pontocerebellar

1 hypoplasia, were also investigated.⁸⁻²⁷ However, none of these features were observed, and ALS
2 was unlikely, because ALS is usually regarded as an adult-onset neurodegenerative disorder.²⁷
3 Many congenital neurodegenerative diseases or atypical SMA are known for distinct diagnoses of
4 SMA1-like congenital illnesses, for example, SMA with respiratory distress (SMARD1), SMA
5 with progressive myoclonic epilepsy (SMAPME), and pontocerebellar hypoplasia (PCH1A,
6 PCH1B).⁴⁻⁶ The siblings here exhibited some symptoms of SMARD1 (caused by *IGHMBP2*
7 mutations), showing severe respiratory distress at the age of 1 to 6 months, but hemiparalysis of the
8 diaphragm, a characteristic finding of SMARD1, was not observed.^{11,28} Furthermore, SMARD1
9 does not complicate central nervous system disorders.^{11,28}
10 Pontocerebellar hypoplasia exhibits the most similar clinical course to SMA, and refers to a group
11 of severe neurodegenerative disorders that affect growth and function of the brainstem and
12 cerebellum, eventually resulting in little or no development.^{8,29,30} Different types of pontocerebellar
13 hypoplasia are classified based on clinical findings and the spectra of pathological changes.^{8,29,30}
14 Type 1 PCH is characterized by central and peripheral motor dysfunction associated with anterior
15 horn cell degeneration, and resembles infantile SMA, usually leading to early death.^{29,30} With type
16 2 PCH, there is progressive microcephaly from birth combined with extrapyramidal
17 dyskinesias.^{29,30} Marked PCH and progressive cerebral atrophy are revealed by brain CT. Here, the
18 siblings showed microcephaly, progressive cerebral atrophy (but not spastic palsy), severe
19 extrapyramidal dyskinesia, and failure to acquire any voluntary skills. There are many other types
20 of PCH (2–7), but hyperreflexia, optic atrophy (PCH3), joint contracture, olivopontocerebellar

1 hypoplasia (PCH4), cerebellar hypoplasia apparent in the second trimester, seizures (PCH5), and
2 mitochondrial respiratory chain defects (PCH6) were not confirmed in the present cases.^{29,30}
3 Genital abnormalities associated with PCH (PCH7) are only observed in patients with the XY
4 karyotype.³⁰
5 To the best of our knowledge, the same clinical presentation observed here has not been previously
6 reported; hence, we conducted exome sequencing of the family quartet: the patients (cases 1 and 2),
7 and their parents. Assuming autosomal recessive inheritance, we searched for genes with
8 compound heterozygous or homozygous protein-altering or splice-site variants with MAFs less
9 than 0.5% in the exomes from the 800 in-house, healthy controls, and found that the siblings
10 carried two homozygous missense variants, R942Q in *TBCD* and H250Q in *BAHCCI*. Their
11 parents had the same variants of these genes in heterozygous states. R942Q in *TBCD* is a novel
12 variant, while H250Q in *BAHCCI* was observed in 2 of the 800 in-house healthy controls,
13 suggesting that R942Q in *TBCD* is more likely than H250Q in *BAHCCI* to be the causative variant.
14 This notion was further supported by the following findings: (1) a variant of *Drosophila TBCD* in
15 projection neurons leads to microtubule destruction and axonal degeneration.³¹ (2) Murine *Tbce*
16 gene mutants, homologs of human tubulin-folding cofactor E (*TBCE*), show phenotypic
17 characteristics of SMA-like motor neuropathy.³²⁻³⁴ (3) In *Smm*-knockdown cells and SMA-like
18 mice, microtubule density and beta-tubulin levels are reduced.³⁵ The alpha/beta tubulin heterodimer
19 formation requires participation of a series of chaperone proteins (tubulin-folding cofactors A–E)
20 that function downstream of cytosolic chaperonin as a heterodimer assembly machine, and of

1 which, TBCD forms one of the assemblies.³⁶ The efficiency with which TBCD affects tubulin
2 disruption *in vivo* depends on its origin: overexpression of bovine TBCD efficiently destroys
3 tubulin and microtubules in cultured cells.³⁶ Interestingly, TUBA4A has recently been reported to
4 be associated with familial ALS, supporting that tubulin integrity is essential in motor neurons.³⁷ (4)
5 Additionally, in many syndromes of conventionally grouped purely neurological disorders,
6 extraneurological or other neurological complications will appear later in childhood.^{2,3} Giant axonal
7 neuropathy (GAN), related to GAN (gigaxonin) mutations, is known as one of the most
8 recognizable neurodegenerative disorders.³ GAN controls vimentin organization through a tubulin
9 chaperone (TBCB, TBCE)-independent pathway.³⁸ Although our cases differed from GAN
10 because of our peripheral nerve biopsy findings, these reports may indicate a relationship between
11 tubulin chaperones and neurodegenerative disorders.

12 According to the protein structure models of Pymol (<http://www.pymol.org/>) and predicted protein
13 structures, R942 is involved in protein–protein interactions in structured helices,^{39,40} and
14 evolutionally conserved among species (Fig. 3). The R942Q variant is suspected to influence
15 protein conformation and function by changing a positive to a neutral amino acid on the protein
16 surface (Fig. 4).

17 While R942Q has not been reported in public databases, two missense changes involving adjacent
18 residues have been annotated (rs753751532, H941Y; rs8072406, G943V).⁴⁰ The reasons why
19 H941Y and G943V have been reported as not affecting pathogenesis may be that H941Y does not
20 form a helical structure (Fig. 4) and possibly does not highly affect protein structure,⁴¹ and G943V

1 does not alter charged amino acids. Both glycine and valine are nonpolar hydrophobic amino
2 acids.⁴¹ Therefore, we predict that R942Q causes the loss of the electrostatic stability of the TBCD
3 protein due to the alteration from a positive to a neutral charge in an amino acid.⁴²

4 The *BAHCCI* gene may also be causative in our cases because mice with a knockout of the human
5 *BAHCCI* ortholog (KIAA 1447) have overt motor deficits.⁴³ However, *in silico* amino acid
6 prediction analyses of both homologous missense mutations using PolyPhen2 and Align GVG
7 showed that R942Q in *TBCD* was more likely (sensitivity, 0.68; specificity, 0.97) than H250Q in
8 *BAHCCI* (sensitivity, 1.00; specificity, 0.00) to be causative.^{18,44} Guidelines for using prediction
9 methods recommend the application of several tools, if possible. Herein, we analyzed the results
10 using three different prediction tools.⁴⁵

11 *TBCD* and *BAHCCI* are both located on chromosome 17q25.3 at an interval of 1.4 Mbp.
12 Moreover, there is a run of homozygosity in the 1.8 Mbp region (chr17 79,429,228-qter) that
13 includes *TBCD* and *BAHCCI* as observed by exome and high-density SNP results (data not
14 shown), which raised the possibility that both parents inherited the variants in *TBCD* and *BAHCCI*
15 from a common ancestral individual. IBD estimation of the parents from the SNP data, however,
16 did not suggest that the parents were closely related, because the pi-hat value, which estimates the
17 proportion of IBD between them, was 0.0075. Although R942Q in *TBCD* is most likely the
18 causative variant, as discussed above, we cannot rule out the possibility of more complex models
19 (i.e., *TBCD* and *BAHCCI* co-expression, or autosomal dominant transmission due to parental
20 germinal mosaicism). Further functional analyses using *in vivo* and *in vitro* models will be

1 necessary to investigate how these mutations are involved in the clinical presentation.

2 Recently, three papers describing the similar cases with *TBCD* variation were published.⁴⁶⁻⁴⁸ The

3 patients of these papers were described as early-onset cortical atrophy, postnatal microcephaly, and

4 developmental delay/regression.⁴⁶⁻⁴⁸ Several cases appeared postnatal growth retardation, muscle

5 weakness/atrophy, respiratory failure, seizures, optic nerve atrophy, progressive spasticity, or severe

6 dystonia.⁴⁶⁻⁴⁸ But our cases would be most severe neurodegenerative conditions as in our paper.

7 These papers include some functional aspects of the linkage between the disease phenotype and

8 *TBCD* variation, and they determined *TBCD* as a causal gene of their patients' disease because the

9 phenotypes were quite similar to that of previous report.⁴⁶⁻⁴⁸

10 In conclusion, a homozygous mutation in *TBCD*, which encodes tubulin cofactor, is likely

11 responsible for a novel and severe neurodegenerative disorder.

12

1 **Accession numbers and reference sequences**

2 Note: Nucleotide sequence data reported are available in the DNA Data Bank of Japan database
3 under the accession numbers LC071985 and LC072713.

4

5 Reference sequences are available from NCBI for the *Homo sapiens* *TBCD* mutation

6 (NC_000017.10 chromosome 17 reference GRCh primary Assembly; NC_000017.11

7 chromosome 17 reference GRCh primary Assembly; and NCBI Reference Sequence:

8 NG_011721.1), and the *BAHCCI* mutation (NC_000017.10 chromosome 17 reference GRCh

9 primary Assembly; and NC_000017.11 chromosome 17 reference GRCh primary Assembly).⁴⁹

10

11 **Abbreviations**

12 Spinal muscular atrophy (SMA)

13 survival motor neuron gene (SMN)

14 pontocerebellar hypoplasia (PCH)

15 tubulin-folding cofactor D gene (TBCD)

16 single nucleotide polymorphisms (SNPs)

17 identity-by-descent (IBD)

18 polymerase chain reaction (PCR)

19 bromo-adjacent homology domain and coiled coil-containing 1 gene (BAHCC1)

20 magnetic resonance imaging (MRI)

- 1 computed tomography (CT)
- 2 standard deviation (SD)
- 3 decibel above normal adult hearing level (dB nHL)
- 4 minor allele frequency (MAF)
- 5 amyotrophic lateral sclerosis (ALS)
- 6 Spinal muscular atrophy with respiratory distress type 1 (SMARD1)
- 7 SMA with progressive myoclonic epilepsy (SMAPME)
- 8 popliteal fossa (Pop Fossa)
- 9 latency (LAT)
- 10 duration (DUR)
- 11 amplitude (AMP)
- 12 conduction velocity (CV)
- 13 microvolt (μ V)
- 14 millisecond (ms)

15

16 **Authors' Contributions**

17 TI confirmed the diagnosis in each participating patient, conceived of the study, participated in the
18 sequence alignment, designed and performed the experiments, analyzed the data, contributed
19 reagents/materials/analysis tools, and drafted the manuscript. AN confirmed the diagnosis for the
20 participating patient designated as case 2, conceived of the study, participated in the sequence

1 alignment, designed and performed the experiments, analyzed the data, and helped to draft the
2 manuscript. RN confirmed the diagnosis for the participating patient designated as case 1. MU
3 performed the experiments. MO designed and performed the experiments, and analyzed the data.
4 HM conceived of the study and helped to draft the manuscript. TU conceived and designed the
5 experiments. JM performed the experiments and contributed reagents/materials/analysis tools. HI
6 performed the experiments and contributed reagents/materials/analysis tools. JY performed the
7 experiments and contributed reagents/materials/analysis tools. KD performed the experiments and
8 contributed reagents/materials/analysis tools. NK conceived and designed the experiments. SM
9 performed the experiments and contributed reagents/materials/analysis tools. NI conceived and
10 designed the experiments. ST conceived and designed the experiments and contributed
11 reagents/materials/analysis tools and drafted manuscript. HN conceived of the study, and
12 participated in its design and coordination and helped to draft the manuscript. All authors read and
13 approved the final manuscript.

14

15 **Conflicts of Interest**

16 This work was supported in part by Grants-in-Aid for Scientific Research (KAKENHI) for
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9

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11

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1 **Titles and legends to figures**

2 Fig. 1. Family pedigree and chromatograms of two *de novo* variants identified in *TBCD* and
3 *BAHCCI*.

4 Participants with available whole exome sequencing analysis are indicated by dots. Data were
5 obtained by Sanger sequencing during the confirmation process. Direct nucleotide sequence
6 analysis confirmed the *TBCD* variant (exon 31, c.2825G>A, R942Q) and the *BAHCCI* variant
7 (exon 4, c.750C>A, H250Q).

8

9 Fig. 2. T1- and T2-weighted brain MR imaging, nerve conduction study, and muscle biopsy.

10 **a.** MRI of case 1 at 1 month of age showing normal findings. **b.** At 7 months, T1- and T2-
11 weighted brain MR images show progression of cerebral atrophy. Given the severe cerebral
12 atrophy and less homogeneous hyperintensity of the white matter seen on T2-weighted imaging,
13 the MRI findings indicate early-onset neurodegenerative disorder, in which impaired formation of
14 myelin results from neuronal dysfunction.⁵⁰ **c.** MRI results of case 2 at 6 months of age also reveal
15 progressive cerebral atrophy with less hyperintensity of the white matter. However, this finding
16 does not mean a primary hypomyelination.⁵⁰ **d.** Nerve conduction study of case 1 at 9 months of
17 age. The tibial nerve between the ankle and popliteal fossa shows reduced nerve conduction
18 velocity of 24 m/s (normal 38.5 ± 5.5) and reduced compound muscle action potential of 0.93 mV
19 (normal 14.1 ± 2.6). These findings can be accounted for by either axonal-dominant degeneration
20 or motor unit reduction. Pop Fossa, popliteal fossa; LAT, latency (in ms); DUR, duration (in ms);

1 AMP, amplitude (in millivolts, mV); CV, conduction velocity (in meter per second, m/s); μ V,
2 microvolt; ms, millisecond. **e.** Biceps muscle biopsy from case 1 at 4 years of age showing large
3 grouped atrophy with fiber hypertrophy, coincident with SMA findings (hematoxylin and eosin
4 staining). **f.** Sural nerve biopsy from case 1 at 4 years of age. This peripheral nerve biopsy specimen
5 shows no axonal degeneration or swollen axons. Myelin sheaths are maintained (toluidine blue
6 staining).

7 Fig. 3. Identification of the putative causative variants in *TBCD* and *BAHCCI*.

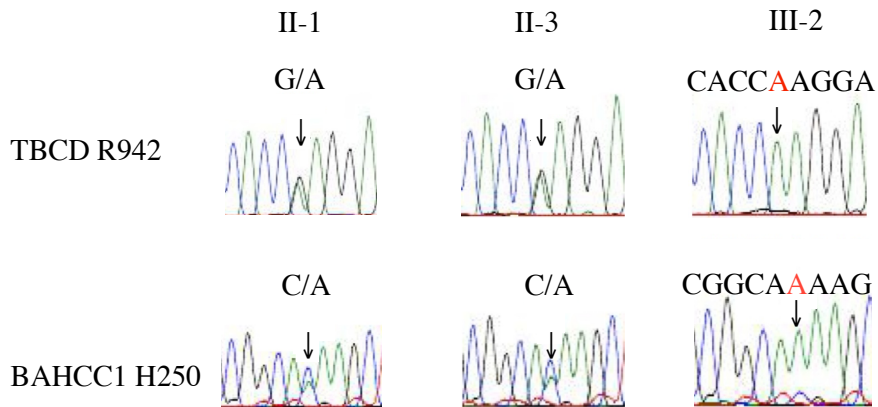
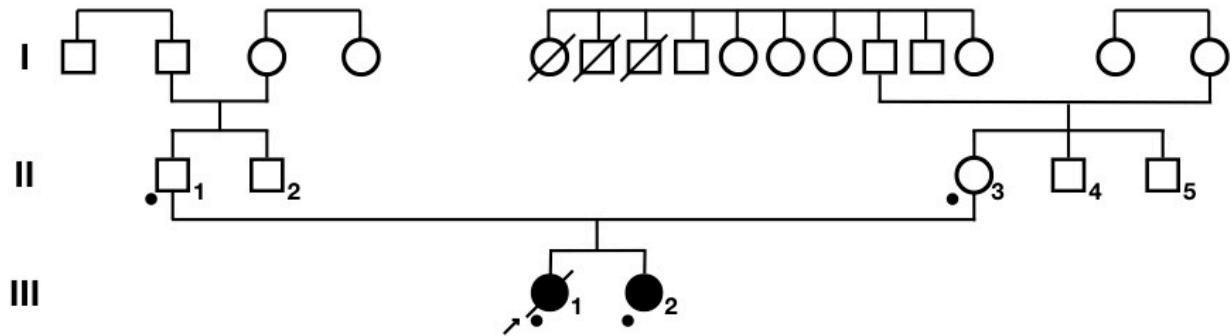
8 **a.** Schematic of the *BAHCCI* gene, composed of 28 exons, and of the *TBCD* gene, composed of
9 1,192 amino acids and 39 exons. **b, c.** Partial amino acid sequence alignment reveals that (b) H250
10 and (c) R942 are evolutionally conserved among species.

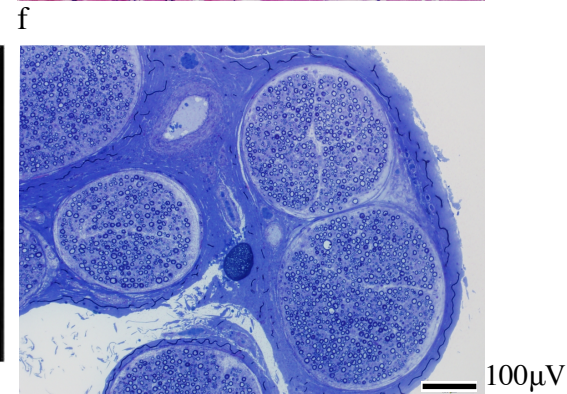
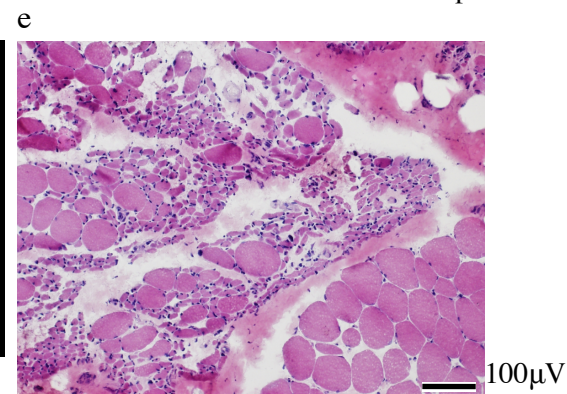
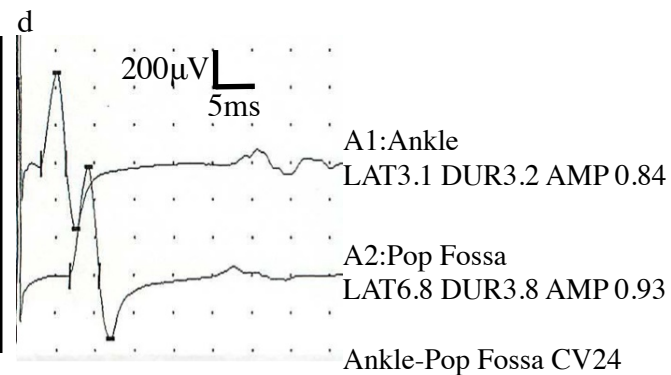
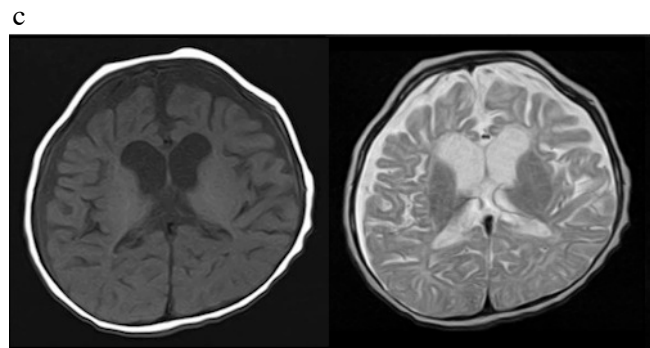
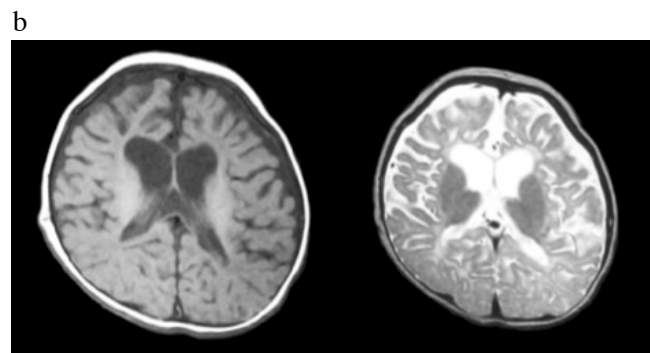
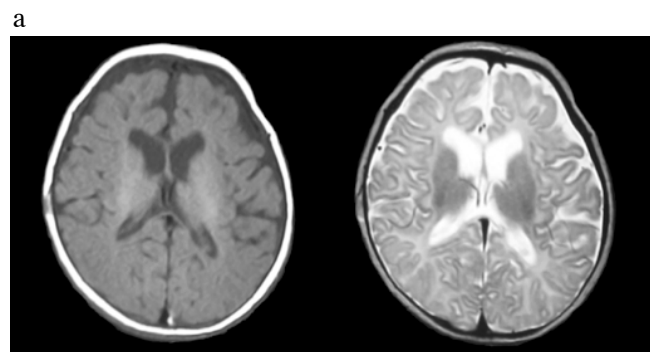
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12 Fig. 4. Structure models of TBCD showing the R942Q variant.

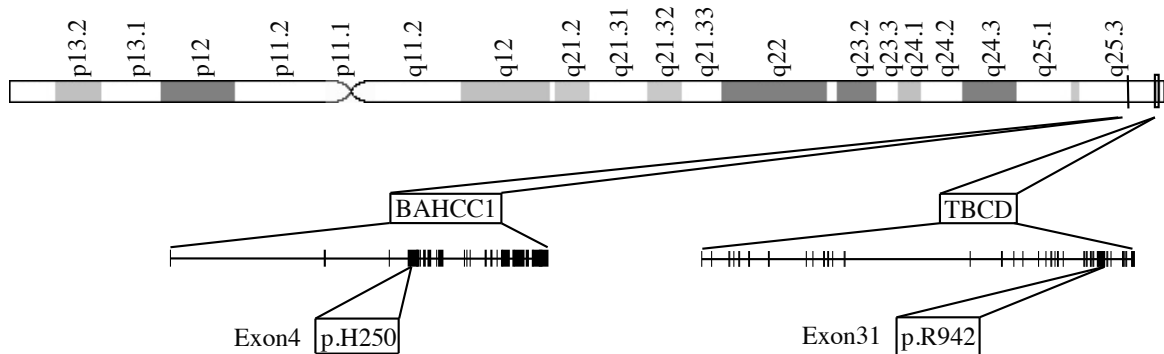
13 **a, b.** TBCD protein structure from amino acids 865 to 1170; (a) and (b) show the same structures
14 observed at different angles. PDB ID was downloaded using the Mod database.⁵¹ R942Q is located
15 on the protein surface and is part of a helical structure, suggesting it may be involved in protein–
16 protein or intermolecular interactions by causing loss of a positively charged amino acid.^{44,50}

17 R942Q is represented using a stick, whereas the other structures are shown as cartoons. **c.** Protein
18 structure predictions using JPred (left) and I-TASSER (right).^{22,27} R942Q likely influences an alpha
19 helix. H, alpha helix; C, coil.





a. Chromosome17



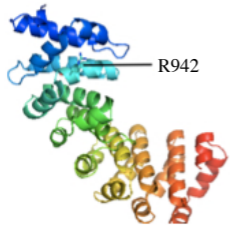
b. BAHCC1 H250Q

Case1	Case2	
		EDGGKERQKLVLVPVA
Homo sapiens		EDGGKERHKLVLVPVA
Macaca mulatta		EDGGKERHKLVLVPVA
Canis lupus		DEGGKERHKKPVLPPVA
Mus musculus		EDSGKDRQKLVPMPVA
Rattus norvegicus		EESSKDRQKLVPMPVA
Gallus gallus		EDDGKERHRAVLVPPVA
Xenopus tropicalis		LHHHHQHHPQHHPQGL
Danio rerio		EDEGKERQKVVLPPMSL

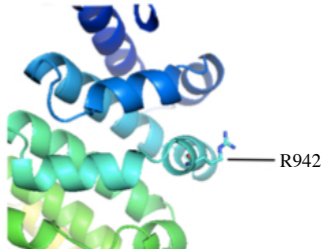
c. TBCD R942Q

Case1	Case2	
		PIPHVPHQGELEKLF
Homo sapiens		PIPHVPHRGELEKLF
Macaca mulatta		PIPHVPHRGELEKLF
Canis lupus		PIPHVPHRGQLEELFP
Mus musculus		PIPHVPHRQELESFP
Rattus norvegicus		PIPHVPHRKELESFP
Gallus gallus		PPVPHIPHREELERIFP
Xenopus tropicalis		PAVPHIPHHEELLSIFP
Danio rerio		PRIPYIREHSLLEIFP

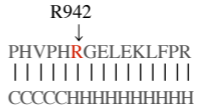
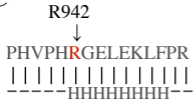
a



b



C



1 Table 1. Novel nonsynonymous variants detected in target regions of case 1 and case 2.

	Reads	Mapped Reads	Mapped Reads (unique)	Mapping Rate (%)	Mapping Rate (unique) (%)	Coverage	Coverage (unique)
Case 1	79,409,1 25	111,355,9 84	109,060,26 4	99.2	97.2	156.3	153.1
Case 2	80,887,1 20	115,004,7 32	110,418,64 8	98.9	95.0	99.4	95.4

2 Table 2. Candidate homozygous variants detected in case 1 and case 2.

Chromo- Some	Position	Refer- ence	Alter- nation	Zygosity	Mean allele frequency within in house controls	Gene	Amino acid mutation
Chr17	79,409,125	C	A	Homo	2/800(0.25%)	<i>BAHCCI</i>	H250Q
Chr17	80,887,120	G	A	Homo	0/800	<i>TBCD</i>	R942Q

3 Table 3. Predictions on the effect of missense substitutions in *BAHCC1* and *TBCD*.

Gene	Mutation	Polyphen2	SIFT	Align GVGD
<i>BAHCC1</i>	H250Q	Benign	Affects protein function	Class C15*
<i>TBCD</i>	R942Q	Probably Damaging	Affects protein function	Class C35*

4 *Classifiers are ordered along a spectrum (C0, C15, C25, C35, C45, C55, and C65) from most
5 likely to interfere with function (C65) to least likely (C0).