

Two-Dimensional Input Tapes with One-Counter Languages Not Accepted by Deterministic Rebound Automata

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Abstract

M.Blum and C.Hewitt first proposed two-dimensional automata as a computational model of two-dimensional pattern processing, and investigated their pattern recognition abilities[1]. Since then, many researchers have been investigating a lot of properties about automata on a two-dimensional tape. However, there are a lot more open problems. For instance, it was unknown whether there exists a language accepted by a two-way nondeterministic one counter automaton, but not accepted by any deterministic rebound automaton. In this paper, we try to solve this problem, and show that there exists such a language.

Keywords: *nondeterminism, one counter automaton, rebound automaton, two-dimensional tape, chunk*

1 Introduction and Preliminaries

Two-dimensional automata were first proposed and investigated their pattern recognition abilities in 1967[1]. Since then, many researchers in this field have been investigating a lot of properties about two-dimensional automata[5]. In Ref.[6], a new type of language acceptor, called the rebound automaton, was proposed and its accepting power was investigated by Sugata, Umeo, and Morita. A rebound automaton has the same structure as a two-dimensional finite automaton[1], but an input to it is a square tape whose top row is a word to be recognized, and whose other symbols are all blank. It is demonstrated in Ref.[6] that rebound automata have some kind of counting ability, and thus they can accept many non-regular languages. But it is unknown whether there

exists a language accepted by a two-way nondeterministic one counter automaton[3], but not accepted by any nondeterministic rebound automaton. This paper solves this problem, and shows that there exists such a language. This result implies that the counting ability of nondeterministic rebound automata are not sufficient to simulate the counting ability of two-way nondeterministic one counter automata.

Let Γ be a finite set of symbols. A two-dimensional tape over Γ is a two-dimensional rectangular array of elements of Γ . The set of all two-dimensional tapes over Γ is denoted by $\Gamma^{(2)}$.

Given a tape $x \in \Gamma^{(2)}$, we let $l_1(x)$ be the number of rows of x , and $l_2(x)$ be the number of columns of x . If $1 \leq i \leq l_1(x)$ and $1 \leq j \leq l_2(x)$, we let $x(i, j)$ denote the symbol in x with coordinates (i, j) .

Futhermore, we define $x[(i, j), (i', j')]$, only when $1 \leq i \leq i' \leq l_1(x)$ and $1 \leq j \leq j' \leq l_2(x)$, as the two-dimensional tape z satisfying the following :

- (i) $l_1(z) = i' - i + 1$ and $l_2(z) = j' - j + 1$;
- (ii) for each $k, r (1 \leq k \leq l_1(z), 1 \leq r \leq l_2(z))$, $z(k, r) =$

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$$x(k+i-1, r+j-1).$$

A deterministic rebound automaton (DRA) is a system $M = (K, \Sigma, \#, B, q_0, \Delta, \delta, F)$, where K is a finite set of state, Σ is a finite set of input symbols, $\#$ is the blank symbol (not in Σ), B is the boundary symbol(not in Σ), $q_0 \in K$ is the start states, $F \subseteq K$ is a set of accepting states, $\delta : K \times (\Sigma \cup \{\#, B\}) \rightarrow K \times \Delta$ is the control function, and $\Delta = \{L, R, U, D\}$ can be thought of as a set of directions (left, right, up, down).

An input tape for M is a two-dimensional square tape over $\Sigma \cup \{\#\}$ surrounded by the boundary symbol B , whose top row is a word $a_1 a_2 \dots a_n \in \Sigma^+ (n \geq 1)$, and whose other symbols are all $\#$'s (See Fig.1.). $\delta(q, a) \ni (p, d)$ means that if M reads the symbol a in state q , it can enter state p and move in direction d . Suppose that an input tape x (as shown in Fig.1.) whose top row is a word $w = a_1 a_2 \dots a_n \in \Sigma^+ (n \geq 1)$ is presented to M . M starts in state q_0 on the upper left-hand corner of x . If M falls off the tape x , M can make no further move. We say that the word w (which is the top row of x) is accepted by M if M eventually enters an accepting state somewhere on x . We denote the set of words accepted by M by $T(M)$.

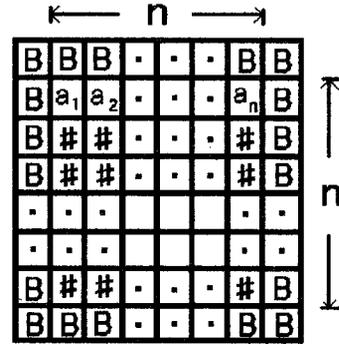


Fig. 1: An input tape to DRA.

2 Results

Here we give a preliminary result which is used to prove our main theorem.

For each $m \geq 2$ and each $1 \leq n \leq m - 1$, an (m, n) -chunk is a pattern (over $\{0, 1, 2, b, \#\}$) as shown in Fig.2, where $x_1 \in \{0, 1, 2, b\}^{(2)}$, $x_2 \in \{\#\}^{(2)}$, $l_1(x_1) = 1$, $l_2(x_1) = m - n$, $l_1(x_2) = m - 1$, and $l_2(x_2) = m$.

Let M be a DRA whose input alphabet is $\{0, 1, 2, b\}$, and $\#$ and B be the blank symbol and the boundary symbol of M , respectively. For any (m, n) -chunk x , we denote by $x(B)$ the pattern (obtained from x by surrounding x with B 's) shown in Fig.3.

Below, we assume without loss of generality that M enters or exits the pattern $x(B)$ only at the face designated by the bold line in Fig.3. Thus, the number of entrance points to $x(B)$ (or exit points from $x(B)$) for M is $n + 3$.

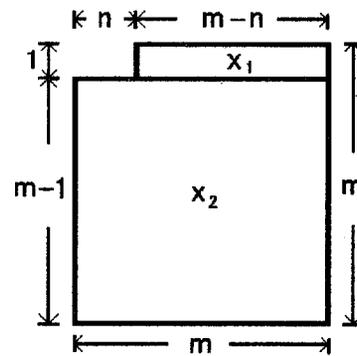


Fig. 2: An (m, n) -chunk.

We suppose that these entrance points (or exit points) are numbered $1, 2, \dots, n+3$ in an appropriate way.

Let $P = \{1, 2, \dots, n+3\}$ be the set of these entrance points (or exit points).

For each $i \in P$ and each $q \in K$ (K is the set of states of M), let $M_{(i,q)}(x(B))$ be a subset of $P \times K \cup \{L\}$ which is defined as follows (L is a new symbol) :

$$(i) (j, p) \in M_{(i,q)}(x(B)) \iff$$

when M enters the pattern $x(B)$ in state q and at point i , it may eventually exit $x(B)$ in state p and at point j .

$$(ii) L \in M_{(i,q)}(x(B)) \iff$$

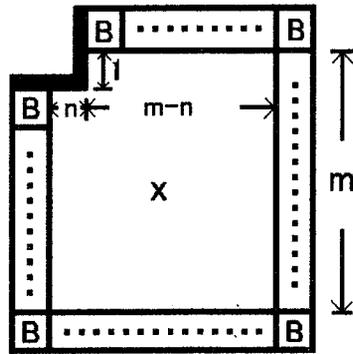


Fig. 3: $x(B)$.

when M enters the pattern $x(B)$ in state q and at point i , it may not exit $x(B)$ at all.

Let x, y be any two different (m, n) -chunks. We say that x and y are M -equivalent if for any $(i, q) \in P \times K, M_{(i,q)}(x(B)) = M_{(i,q)}(y(B))$. Thus, M cannot distinguish between two (m, n) -chunks which are M -equivalent.

Clearly, M -equivalence is an equivalence relation on (m, n) -chunks, and we get the following lemma.

[Lemma 1] *There are at most $((n + 3)k + 1)^{(n+3)k}$ M -equivalence classes of (m, n) -chunks, where k is the number of state of M .*

(Proof) The proof is similar to that of Lemma 4.3 in Ref.[4]. \square

Note that the number of M -equivalence class of (m, n) -chunks is independent of m .

Let $E = \{x_1 b x_2 b \dots b x_k | k \geq 1 \text{ and there is } l \geq 0 \text{ such that } x_i \in \{0, 1\}^l \text{ for } i = 1, \dots, k\}$.

Let $s : \{0, 1\}^* \rightarrow \{0, 1, 2\}^*$ be a function such that $s(a_1 \dots a_l) = a_1 2^{l+2} a_2 2^{l+2} \dots a_{l-1} 2^{2l-1}$, where $a_i \in \{0, 1\}$ for $i = 1, \dots, l$.

Further, let $h(x_1 b \dots b x_k) = s(x_1) b \dots b s(x_k)$ for each $x_1 b \dots b x_k \in E$.

Then we define $L^k = \{x_0 b h(x_1 b \dots b x_k) | k \geq 1, x_1 b \dots b x_k \in E, \text{ and there is } 1 \leq j \leq k \text{ such that } x_j = x_0\}$.

We are now ready to prove our main theorem.

[Theorem 1] *There exists a language accepted by a two-way nondeterministic one counter automaton, but not accepted by any DRA. L_h is such a language. (Proof) It is shown in Ref.[2] that L_h is accepted by a two-way nondeterministic one counter automaton.*

Below we show that L_h is not accepted by any DRA.

Suppose that L_h is accepted by some DRA M with k states. We can assume without loss of generality that when M accepts a word u in L_h , it enters an accepting state on the upper left-hand corner of the input tape whose top row is u , and that M never falls off an input tape out of the boundary symbol B .

For each $n \geq 1$, let

$$V(n) = \{x_0 b h(x_1 b x_2 b \dots b x_{f(n)}) | x_0 \in \{0, 1\}^n \text{ and } \forall i (1 \leq i \leq f(n)) [x_i \in \{0, 1\}^n]\}, \text{ where } f(n) = 2^n;$$

$$V'(n) = \{x \in \{0, 1, 2, \#, b\}^{(2)} | l_1(x) = l_2(x) = n + (1 - \frac{1}{2}n + \frac{3}{2}n^2)f(n) \text{ and } x[(1, 1), (1, l_2(x))] \text{ (i.e., the top row of } x) \in V(n) \text{ and } x[(2, 1), (l_1(x), l_2(x))] \in \{\#\}^{(2)}\}, \text{ where } \# \text{ is the blank symbol of } M; \text{ and } Y(n) = \{0, 1\}^n.$$

Clearly $|Y(n)| = 2^n = f(n)$ (where for any set $A, |A|$ denotes the number of elements of A), and so we let $Y(n) = \{v_1, v_2, \dots, v_{f(n)}\}$.

For each $n \geq 1$, let $S(n) = \{\text{word}(x) | x \in V'(n)\}$, where $\text{word}(x) = \{v_j \in Y(n) | v_j = v_i \text{ for some } i (1 \leq i \leq f(n))\}$ for each x in $V'(n)$ whose top row is $x_0 b h(x_1 b x_2 b \dots b x_{f(n)})$ for some $x_0, x_1, x_2, \dots, x_{f(n)}$ in $\{0, 1\}^n$.

$$\text{Clearly, } |S(n)| = \binom{f(n)}{1} + \binom{f(n)}{2} + \dots + \binom{f(n)}{f(n)} = 2^{f(n)} - 1.$$

Note that the set $\{p | \text{for some } x \text{ in } V'(n), p \text{ is the pattern obtained from } x \text{ by cutting the part } x[(1, 1), (1, n)] \text{ off}\}$ is the set of all $(n + (1 - \frac{1}{2}n + \frac{3}{2}n^2)f(n), n)$ -chunks. By Lemma 1, there are at most $t(n) = ((n + 3)k + 1)^{(n+3)k}$ M -equivalence classes of $(n + (1 - \frac{1}{2}n + \frac{3}{2}n^2)f(n), n)$ -chunks.

We denote these M -equivalence classes by $C_1, C_2, \dots, C_{t(n)}$. For large $n, |S(n)| > t(n)$. For such a large n , there must be some $l, l' (l \neq l')$ in $S(n)$ and some $C_i (1 \leq i \leq t(n))$ such that the following statement holds:

"There exist two tapes x and y in $V'(n)$ such that

- (i) for some word v in l but not in l' ,
 $x[(1,1), (1,n)] = y[(1,1), (1,n)] = v$,
- (ii) $\text{word}(x) = l$ and $\text{word}(y) = l'$, and
- (iii) both p_x and p_y are in C_i , where $p_x(p_y)$ is the $(n + (1 - \frac{1}{2})n + (\frac{3}{2})n^2)f(n, n)$ -chunks obtained from x (from y) by cutting the part $x[(1,1), (1,n)]$ (the part $y[(1,1), (1,n)]$) off."

As is easily seen, the top row of x is in L^h , and so it is accepted by M . It follows that the top row of y is also accepted by M , which is a contradiction. (Note that the top row of y is not in L^h .)

This completes the proof of the theorem. \square

3 Conclusion

We showed that there exists a language accepted by a two-way nondeterministic one counter automaton, but not accepted by any deterministic rebound automaton. It is still unknown whether there exists a language accepted by a two-way deterministic one counter automaton, but not accepted by any deterministic (or nondeterministic) rebound automaton.

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